

Audit Committee Work Plan 2016/17

Audit Committee Work Plan 2016/17														
Item	Lead Officer	2016							2017					
		J	J	A	S	O	N	D	J	F	M	A	M	J
Internal Audit Annual Report (including six month Internal Audit Progress Report - Dec)	Rob Hutchings/ Dominic Measures	30						8						
Statement of Accounts 2015/16	Carolyn Haynes	30			15									
Operational Risk and Opportunity Register- Update Report	Mike Hocking	30						8						
Risk and Opportunity Management Annual Report 2015/16	Mike Hocking	30												
Annual Governance Statement 2015/16	Mike Hocking	30												
Annual Report on Treasury Management Activities for 2015/16	Chris Flower	30						8	❖					

Audit Committee Work Plan 2016/17

Item	Lead Officer	2016							2017					
		J	J	A	S	O	N	D	J	F	M	A	M	J
Strategic Risk and Opportunity Register Monitoring Report (including the Integrated Commissioning Risk Register)	Mike Hocking				15						16			
Internal Audit Plan 2017/18	Robert Hutchins / Dominic Measures										16			
Internal Audit – Progress Report	Robert Hutchins / Dominic Measures				15									
Internal Audit – Follow Up Work	Robert Hutchins / Dominic Measures				15									
External Audit Plan Progress Report 2015/2016	External Auditor BDO	30			15									
External Audit Planning Report	External Auditor BDO							8			16			

Audit Committee Work Plan 2016/17

Audit Committee Work Plan 2016/17														
Item	Lead Officer	2016							2017					
		J	J	A	S	O	N	D	J	F	M	A	M	J
Annual Report to Those Charged with Governance (ISA260 Report) 2015/2016 including Value for Money (VFM)	External Auditor BDO				15									
Annual Audit Letter 2015/2016	External Auditor BDO							8						
Certification Plan	External Auditor BDO							8						
Certification Work Report 2015/2016	External Auditor BDO							8						
External Audit Planning Letter 2017/18	External Auditor BDO										16			
Grant Claims and Returns Certification	External Auditor BDO										16			
Review of Rolling Work Plan	Lead Officer/DSO	30			15			8			16			

Audit Committee Work Plan 2016/17

Audit Committee Work Plan 2016/17														
Item	Lead Officer	2016							2017					
		J	J	A	S	O	N	D	J	F	M	A	M	J
NEW Devon CCG Audit Committee	David Northey							8						TBC
Treasury Management Practices, Principles and Schedules 2017/18	Chris Flower										16			
Treasury Management Strategy	Chris Flower							8						
Internal Audit Charter and Strategy 2016/17	Robert Hutchins / Dominic Measures										16			
Information Governance Annual Report	John Finch	30												
Surveillance, Covert Activities and the Regulation of Investigatory Powers Act 2000 (RIPA)	John Finch	30												
Treasury Management – Impact of Leaving the EU	Chris Flower				15			8*						
Staff Sickness Report	Dawn Aunger							8						

Audit Committee Work Plan 2016/17

Item	Lead Officer	2016							2017					
		J	J	A	S	O	N	D	J	F	M	A	M	J
Update on Lender Option, Borrower Option (LOBO)	Chris Flower													
Protecting the Public Purse – Counter Fraud Annual Report	Mike Hocking / Ken Johnson													TBC
Director of Children’s Services Local Assurant Test Review	Carole Burgoyne													TBC

* The Audit Committee agree that approval of the Treasury Management Strategy and Annual Investment Strategy 2016/17 is delegated to the Head of Corporate Strategy in consultation with the Chair and Vice Chair of the Audit Committee to agree a final version.

*Impact of leaving the EU to be included in the mid-year Treasury Management report (only if there was any significant changes to report).